

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 004227 USA02/ETCH/ 512,200/381	
In Re Application Of: David Mui et al.				
Serial No. 09/905,172	Filing Date 7/13/01	Examiner Unassigned	Group Art Unit 1765	
Title: ETCH PATTERN DEFINITION USING A CVD ORGANIC LAYER AS AN ANTI-REFLECTION COATING AND HARDMASK				
<p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				

P10A/REV02

TRANSMITTAL OF INFORMATION AND FEE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		004227 USA02/ETCH / SIL/CAU/5B1	
In Re Application: David Mui et al			
Serial No. 09/905,172	Filing Date 7/13/01	Examiner Unassigned	Group Art Unit 1765
ETCH PATTERN DEFINITION USING A CVD ORGANIC LAYER AS AN ANTI-REFLECTION COATING AND HARDMASK			
<p align="center">Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1074 as described below. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> Charge the amount of _____</p> <p><input type="checkbox"/> Credit any overpayment.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required.</p>			
<p align="center">Certificate of Transmission by Facsimile*</p> <p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on</p> <p>NOV 02 2001</p> <p>(Date) <i>[Signature]</i></p> <p align="center">Signature Susana Antunes</p> <p align="center">Typed or Printed Name of Person Signing Certificate</p>		<p align="center">Certificate of Mailing by First Class Mail</p> <p>I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p>NOV 02 2001</p> <p align="center">Signature of Person Mailing Correspondence Susana Antunes</p> <p align="center">Typed or Printed Name of Person Mailing Certificate</p>	
<p>*This certificate may only be used if paying by deposit account.</p> <p align="center"><i>[Signature]</i></p> <p align="center">Signature Joseph Bac</p> <p align="center">Reg. # 31,111</p> <p align="right">Dated: 11/1/01</p> <p align="right">COMPUTER ENTERED NOV - 2 2001</p>			
CC:			

#4

251 North Avenue West, 2nd Floor
Westfield, New Jersey 07090
Tel.: (908) 518-7700
Fax: (908) 518-7795

Mayer Fortkort & Williams, PC
Attorneys At Law

Fax

To: Examiner Deo	From: Marjorie Scariati
Fax: 703-872-9436	Pages: 4
Phone: 703-305-0515	Date: 6/5/03
Re: 09/905,172	CC:

☐ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

PRIVACY AND CONFIDENTIALITY NOTICE

The information contained in this communication is confidential and may be legally privileged. It is intended solely for the use of the individual or entity to whom it is addressed and others authorized to receive it. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or taking of any action in reliance on the contents of this information is strictly prohibited.

If you received this communication in error, please immediately notify us by a collect telephone call to the writer and return the original message and documents to us at the above address via the United States postal service.

Examiner Deo,

Re: 09/905,172

Per your request to David Bonham earlier today, attached please find a copy of the Transmittal and 1 page PTO-1449 that was filed on January 8, 2002.

Marjorie Scariati

Marjorie Scariati
Patent Paralegal